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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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JUN 17 2002

PATENT & TRADEMARK OFFICE

TRANSMITTAL
FORM

(to be used for all correspondence after initial filing)

Application Number

10/090,456

Filing Date

March 4, 2002

First Named Inventor

HANSON S. GIFFORD

Group Art Unit

3763

Examiner Name

Unassigned

Total Number of Pages in This Submission

Attorney Docket Number

19196-001100

ENCLOSURES (check all that apply)

 Fee Transmittal Form Assignment Papers
(for an Application) After Allowance Communication to Group Fee Attached. Drawing(s) Appeal Communication to Board of Appeals and Interferences Amendment / Response Licensing-related Papers Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) After Final Petition Routing Slip (PTO/SB/69)
and Accompanying Petition Proprietary Information Affidavits/declaration(s) Petition to Convert to a
Provisional Application Status Letter Extension of Time Request Power of Attorney, Revocation
Change of Correspondence Address Other Enclosure(s)
(please identify below): Express Abandonment Request Terminal Disclaimer Return Postcard Information Disclosure Statement Request for Refund Certified Copy of Priority
Document(s) CD, Number of CD(s)Remarks
The Commissioner is authorized to charge any additional fees to
Deposit Account 50-1247.7 Response to Missing Parts/
Incomplete Application Response to Missing
Parts under 37 CFR
1.52 or 1.53

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

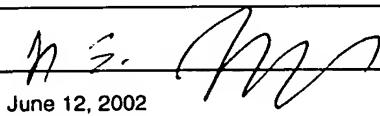
Firm
and
Individual name

HOEKENDIJK & LYNCH LLP

Jens E. Hoekendijk

Reg. No. 37,149

Signature



Date

June 12, 2002

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:

June 12, 2002

Typed or printed name

Loni M. Cummings

Signature



Date

June 12, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

**COPY OF PAPERS
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PTO/SB/17 (09-00)

Approved for use through 10/31/2002. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**FEE TRANSMITTAL
for FY 2002**

Patent fees are subject to annual revision.

AMOUNT OF PAYMENT

(\$ 525)

Complete If Known

Application Number	10/090,456
Filing Date	March 4, 2002
First Named Inventor	HANSON S. GIFFORD
Examiner Name	Unassigned
Group Art Unit	3763

Attorney Docket No. 19196-001100

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number

50-1247

Deposit Account Name

HOEKENDIJK & LYNCH, LLP

Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17
 Applicant claims small entity status.
See 37 CFR 1.27

2. Payment Enclosed:

Check Credit card Money Order Other

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
105	130	205	65			Surcharge - late filing fee or oath	65
127	50	227	25			Surcharge - late provisional filing fee or cover sheet	
139	130	139	130			Non-English specification	
147	2,520	147	2,520			For filing a request for reexamination	
112	920*	112	920*			Requesting publication of SIR prior to Examiner action	.
113	1,840*	113	1,840*			Requesting publication of SIR after Examiner action	
115	110	215	55			Extension for reply within first month	
116	390	216	195			Extension for reply within second month	
117	890	217	445			Extension for reply within third month	
118	1,390	218	695			Extension for reply within fourth month	
128	1,890	228	945			Extension for reply within fifth month	
119	310	219	155			Notice of Appeal	
120	310	220	155			Filing a brief in support of an appeal	
121	270	221	135			Request for oral hearing	
138	1,510	138	1,510			Petition to institute a public use proceeding	
140	110	240	55			Petition to revive – unavoidable	
141	1,240	241	620			Petition to revive – unintentional	
142	1,240	242	620			Utility issue fee (or reissue)	
143	440	243	220			Design issue fee	
144	600	244	300			Plant issue fee	
122	130	122	130			Petitions to the Commissioner	
123	50	123	50			Petitions related to provisional applications	
126	180	126	180			Submission of Information Disclosure Stmt	
581	40	581	40			Recording each patent assignment per property (times number of properties)	
146	710	246	355			Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355			For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355			Request for Continued Examination (RCE)	
169	900	169	900			Request for expedited examination of a design application	
Other fee (specify)							

The Commissioner is authorized to charge any additional fees to the above noted Deposit Account.

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$65)

**or number previously paid, if greater; For Reissues, see above

<i>Complete If Applicable</i>					
Name (Print/Type)	Jens E. Hoekendijk	Registration No. (Attorney/Agent)	37,149	Telephone	415.412.3322
Signature	<i>JES. JD</i>			Date	June 12, 2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231. V



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Page 1 of 1

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

(5)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/090,456	03/04/2002	Hanson S. Gifford	19196-001100

CONFIRMATION NO. 5104

FORMALITIES LETTER



OC00000007854273

Date Mailed: 04/12/2002



NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

06/20/2002 SZEWDIE1 00000065 10090456

FILED UNDER 37 CFR 1.53(b)

01 FC:201 370.00 CH
02 FC:203 90.00 CH

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$180.
 - \$180 for 10 total claims over 20.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1050.

06/24/2002 SZEWDIE1 00000012 501247 10090456

01 FC:205 65.00 CH

A copy of this notice MUST be returned with the reply.

margareit

Customer Service Center
Initial Patent Examination Division (703) 308-1202

06/20/2002 SZEWDIE1 00000065 501247 10090456 PART 2 - COPY TO BE RETURNED WITH RESPONSE

01 FC:201 370.00 CH
02 FC:203 90.00 CH



PATENT

Attorney Docket No. 19196-0001100

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Attn: Box Missing Parts, Assistant Commissioner for Patents, Washington, DC 20231 on June 12, 2002.

By: 

Loni M. Cummings

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of)
)
 HANSON S. GIFFORD, III, *et al.*) Examiner: Unassigned
)
 Application No.: 10/090,456) Art Unit: 3763
)
 Filed: March 4, 2002)
)
 For: METHODS AND DEVICES FOR)
 PROTECTING A PASSAGEWAY)
 IN A BODY WHEN ADVANCING)
 DEVICES THROUGH THE)
 PASSAGEWAY)
)

TRANSMITTAL LETTER

Attn: Box Missing Parts
Assistant Commissioner for Patents
Washington, DC 20231

Sir:

Pursuant to the NOTICE TO FILE MISSING PARTS OF APPLICATION - FILING DATE GRANTED mailed April 12, 2002, enclosed are the following to be made of record in the above-identified application:

1) Copy of Notice to File Missing Parts of Application

Please charge the following fee(s) to Deposit Account No. 50-1247:

Basic Filing Fee:	\$ 370.00
Total Claims: 30-20 = 10 x 9 =	\$ 90.00
Independent Claims: 2-3 = 0 x 42 =	\$ -----
Missing Parts Surcharge for Small Entity	\$ 65.00

